

Basi di dati

Schema

An **attribute** is a (name, domain) pair; we can define the $dom()$ function on a set of names, which associates to each **name** a specific **domain** (*different attributes can have the same domain*)

$$dom : \{ name_1, \dots, name_n \} \rightarrow \{ domain_1, \dots, domain_k \}$$
$$name_i \mapsto domain_j$$

[PDF 7 slide 2](#)

A **relation schema** $R = \{ A_1, A_2, \dots, A_n \}$ is a set of attributes

Tuples & instances

PDF 7 slide 3 Given a relation schema $R = A_1 A_2 \dots A_n$, a **tuple** t on R is a function such that

$$t : R \rightarrow \bigcup_{i=1}^n \text{dom}(A_i)$$
$$A_i \mapsto a \in \text{dom}(A_i)$$

Given a relation schema R , a subset $X \subseteq R$ and t a tuple on R , the **reduction** of t on X is defined as

$$t[X] = \{ (A, t[A]) \mid A \in X \}$$

PDF 7 slide 4 Given a relation schema R , a subset $X \subseteq R$ and t_1, t_2 tuples on R

$$t_1[X] = t_2[X] \iff t_1[A] = t_2[A] \forall A \in X$$

PDF 7 slide 5 Given a relation schema R and t_1, t_2, \dots, t_k tuples on R , a set $r = \{ t_1, t_2, \dots, t_k \}$ is an **instance** of R

Functional dependencies

PDF 7 slide 6

Given a relation schema R and $X, Y \in \mathcal{P}(R) \setminus \{\emptyset\}$ we have that (X, Y) is a **functional dependency** on R (noted as $X \rightarrow Y$)

PDF 7 slide 7

Given a relation schema R and a functional dependency $X \rightarrow Y$ defined on R we say that an **instance** r of R **satisfies** the functional dependency $X \rightarrow Y$ if

$$\forall t_1, t_2 \in r \quad t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y]$$

Instance legality & closure

PDF 7 slide 14

Given a relation schema R and a set F of functional dependencies defined on R , an **instance** r of R is **legal** if it satisfies every dependency in F

$$\forall X \rightarrow Y \in F \quad \forall t_1, t_2 \in r \quad t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y]$$

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Given a relation schema R and a set F of functional dependencies defined on R , the closure of F is the **set of functional dependencies** that are satisfied by every **legal instance** of R

$$F^+ = \{ V \rightarrow W \mid \forall \text{ legal } r \text{ of } R, r \text{ satisfies } V \rightarrow W \}$$

| $V \rightarrow W$ doesn't necessarily have to be in F

$$F \subseteq F^+$$

PDF 7 slide 21

$$F \subseteq F^+$$

Proof

$$F^+ = \{ V \rightarrow W \mid \forall \text{ legal } r \text{ of } R, r \text{ satisfies } V \rightarrow W \}$$

By definition r is legal if it satisfies every dependency $X \rightarrow Y \in F \implies$ given $X \rightarrow Y \in F$, every legal instance of R satisfies $X \rightarrow Y \implies X \rightarrow Y \in F^+$

Keys

PDF 7 slide 22

Given a relation schema R and a set F of functional dependencies on R , $K \subseteq R$ is a **key** of R if

- $K \rightarrow R \in F^+$
- $\forall K' \subset K, K' \rightarrow R \notin F^+$

” \subset ” means **proper subset**, which implies that $K \neq K'$

Trivial dependencies

PDF 7 slide 26

Given a schema R and $X, Y \in \mathcal{P}(R) \setminus \{\emptyset\} : Y \subseteq X$, we have that every instance r of R satisfies the dependency $X \rightarrow Y$

Proof

Given an instance r of R , $\forall t_1, t_2 \in r$ we have that

$t_1[X] = t_2[X] \implies$ by definition $t_1[A] = t_2[A] \forall A \in X \implies$ as $Y \subseteq X$ we have that
 $t_1[A'] = t_2[A'] \forall A' \in Y \implies$ by definition $t_1[Y] = t_2[Y]$

As $t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y]$ we have that r satisfies $X \rightarrow Y$

Decomposition

PDF 7 slide 27

Given a schema R and a set of functional dependencies F on R , we have that

$$X \rightarrow Y \in F^+ \iff X \rightarrow A \in F^+ \forall A \in Y$$

Proof

$$X \rightarrow Y \in F^+ \implies \forall \text{ legal } r \text{ of } R \quad \forall t_1, t_2 \in r \quad t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y] \implies t_1[A] = t_2[A] \forall A \in Y \implies X \rightarrow A \in F^+ \forall A \in Y$$

$$X \rightarrow A \in F^+ \forall A \in Y \implies \forall \text{ legal } r \text{ of } R \quad \forall t_1, t_2 \in r \quad t_1[X] = t_2[X] \implies t_1[A] = t_2[A] \forall A \in Y \implies t_1[Y] = t_2[Y] \implies X \rightarrow Y \in F^+$$

F^A

PDF 8 slide 3 F^A is a set of functional dependencies on R such that

- $X \rightarrow Y \in F \implies X \rightarrow Y \in F^A$
- $Y \subseteq X \in R \implies X \rightarrow Y \in F^A$ (reflexivity)
- $\forall Z \in R, X \rightarrow Y \in F^A \implies ZX \rightarrow ZY \in F^A$ (augmentation)
- $X \rightarrow Y, Y \rightarrow Z \in F^A \implies X \rightarrow Z \in F^A$ (transitivity)

PDF 8 slide 6 derives

- $X \rightarrow Y, X \rightarrow Z \in F^A \implies X \rightarrow YZ \in F^A$ (union)
- $X \rightarrow Y \in F^A \wedge Z \subseteq Y \implies X \rightarrow Z \in F^A$ (decomposition)
- $X \rightarrow Y, WY \rightarrow Z \in F^A \implies WX \rightarrow Z \in F^A$ (pseudotransitivity)

PDF 8 slide 8 $X \rightarrow A_1A_2\dots A_n \in F^A \iff \forall i = 1, \dots, n \quad X \rightarrow A_i \in F^A$

Derivates (*Proofs*)

Union

$X \rightarrow Y, X \rightarrow Z \in F^A \implies$ by augmentation $X \rightarrow XY, XY \rightarrow YZ \in F^A \implies$ by transitivity
 $X \rightarrow YZ \in F^A$

Decomposition

$X \rightarrow Y \in F^A \wedge Z \subseteq Y \implies Y \rightarrow Z \in F^A \implies$ by transitivity $X \rightarrow Z \in F^A$

Pseudotransitivity

$X \rightarrow Y, WY \rightarrow Z \in F^A \implies$ by augmentation $WX \rightarrow WY \in F^A \implies$ by transitivity
 $WX \rightarrow Z \in F^A$

$(X)_F^+$

PDF 8 slide 9

Given a relation schema R , a set F of dependencies on R and $X \subseteq R$. The **closure** of X with respect to F , denoted $(X)_F^+$ is defined as

$$(X)_F^+ = \{ A \in R \mid X \rightarrow A \in F^A \}$$

We have that $X \subseteq (X)_F^+$

Proof

$\forall A \in X$ by reflexivity $X \rightarrow A \in F^A \implies$ by definition $A \in (X)_F^+ \implies X \subseteq (X)_F^+$

▮ We can use Armstrong's axioms as $(X)_F^+$ is defined of F^A

▮ NOTE: $\$(X)$

Lemma of closure

PDF 8 slide 10

Let R be a schema and F a set of functional dependencies on R

$$X \rightarrow Y \in F^A \iff Y \subseteq (X)_F^+$$

Proof

$$X \rightarrow Y \in F^A \implies \text{by decomposition } X \rightarrow A \in F^A \forall A \in Y \implies \text{by definition } A \in (X)_F^+ \forall A \in Y \implies Y \subseteq (X)_F^+$$

$$Y \subseteq (X)_F^+ \implies A \in (X)_F^+ \forall A \in Y \implies \text{by definition } X \rightarrow A \in F^A \forall A \in Y \implies \text{by union } X \rightarrow Y \in F^A$$

$$F^+ = F^A$$

PDF 8 slide 11

Let R be a relation schema and F a set of functional dependencies on R then $F^+ = F^A$

Proof

Let F_i be the value of F after the i -th application of an Armstrong's axiom, with $F_0 = F$

$$F^A \subseteq F^+$$

Base case

$$F_0 = F \subseteq F^+ \implies F_0 \subseteq F^+$$

Inductive step

$$F_i \subseteq F^+ \implies F_{i+1} \subseteq F^+$$

Let $X \rightarrow Y \in F_{i+1}$, either

- $X \rightarrow Y \in F_i \implies$ by HP $X \rightarrow Y \in F^+$
- $X \rightarrow Y \in F_{i+1} \setminus F_i$, which means that $X \rightarrow Y$ has been obtained through one of the axioms

$$F^A \subseteq F^+$$

Reflexivity

$Y \subseteq X \implies$ given that $X \rightarrow Y$ is satisfied by every instance $X \rightarrow Y \in F^+$

Augmentation

$Z \subseteq R, X = ZV, Y = ZW \wedge V \rightarrow W \in F_i$ given $t_1, t_2 \in r$ legal instance of R we have that
 $t_1[X] = t_2[X] \implies (t_1[V] = t_2[V] \implies \text{by HP } t_1[W] = t_2[W]) \wedge t_1[Z] = t_2[Z] \implies$
 $t_1[Y] = t_2[Y]$

Transitivity

$X \rightarrow Z, Z \rightarrow Y \in F_i \implies$ by HP \forall legal r of $R, \forall t_1, t_2 \in r, t_1[X] = t_2[X] \implies t_1[Z] =$
 $t_2[Z] \implies t_1[Y] = t_2[Y]$ we have that $t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y] \implies X \rightarrow Y \in F^+$

$F^+ \subseteq F^A$ (legal instance)

Given $X \subseteq R$ we can build an instance $r = \{t_1, t_2\}$ on R such that

r	$(X)_F^+$					$R \setminus (X)_F^+$				
t_1	1	1	1	...	1	1	1	1	...	1
t_2	1	1	1	...	1	0	0	0	...	0

Let's verify that r is a legal instance. Given $V \rightarrow W \in F$, as $V, W \neq \emptyset$ by definition, we could have

- $V \not\subseteq (X)_F^+ \implies \exists A \in V : A \in R \setminus (X)_F^+ \implies t_1[V] \neq t_2[V] \implies r$ satisfies $V \rightarrow W$
- $V \subseteq (X)_F^+$, we could have that
 - $W \subseteq (X)_F^+ \implies t_1[V] = t_2[V] \wedge t_1[W] = t_2[W] \implies r$ satisfies $V \rightarrow W$
 - $W \not\subseteq (X)_F^+ \implies \exists A \in W : A \in R \setminus (X)_F^+ \implies t_1[V] = t_2[V] \wedge t_1[W] \neq t_2[W]$

$F^+ \subseteq F^A$ (legal instance)

In the last case r doesn't satisfy $V \rightarrow W$, so we have to show that it can't happen. Let's suppose that $\exists V \rightarrow W \in F$ such that r doesn't satisfy $V \rightarrow W$; by construction we have that

$$V \subseteq (X)_F^+ \wedge \exists A \in W : A \in R \setminus (X)_F^+ \implies A \notin (X)_F^+$$

We have that

- $V \subseteq (X)_F^+ \implies$ by the lemma of closure $X \rightarrow V \in F^A$
- $A \in W \implies$ by decomposition $V \rightarrow A \in F^A$

By transitivity $X \rightarrow A \in F^A \implies$ by the lemma of closure $A \in (X)_F^+$ which is a contradiction

Legality

In the first 2 cases r satisfies $V \rightarrow W \in F$, case 3 can't happen $\implies r$ is a legal instance of R

$$F^+ \subseteq F^A$$

Let's consider $X \rightarrow Y \in F^+$

By definition we have that $X \subseteq (X)_F^+ \implies$ by construction $t_1[X] = t_2[X] \implies$ by hypothesis and given that r is a legal instance $t_1[Y] = t_2[Y] \implies$ by the lemma $Y \subseteq (X)_F^+ \implies X \rightarrow Y \in F^A$

$$F^+ = F^A$$

Given that $F_i \subseteq F^+ \forall i \in \mathbb{N}$ and $F^+ \subseteq F^A$ we have that $F^+ = F^A$

3NF

PDF 9 slide 14

Given a relation schema R and a set of functional dependencies F on R .

R is in 3NF if $\forall X \rightarrow A \in F^+ : A \notin X$ either

- A is prime (*belongs to a key*)
- X is superkey

3NF pt.2

PDF 10 slide 4

Let R be a relation schema and F a set of functional dependencies on R

An attribute $A \in R$ **partially** depends on a key K if

- $\exists X \subset R : A \notin X \wedge X \rightarrow A \in F \wedge X \subset K$
- A isn't prime

An attribute $A \in R$ **transitively** depends on a key K if

- $\exists X \subset R : A \notin X \wedge X \rightarrow A \in F \wedge K \rightarrow X \in F$
- X isn't a key
- A isn't prime

| $X \subset R$ means that $X \neq R$, otherwise X would be a superkey, as $R \rightarrow R \in F^A = F^+$

3NF pt.3

PDF 10 slide 5

Given a schema R and a set of functional dependencies F on R , TFAE

- R is in 3NF
- there are **no attributes that partially or transitively depend on a key**
- $\forall X \rightarrow A \in F^+ : A \notin X$ either:
 - A is prime (*belongs to a key*)
 - X is superkey

Proof

TODO: I have it, I just have to write it out in \LaTeX

BCNF (*Boyce-Codd*)

PDF 10 slide 20

A relation schema R is in **Boyce-Codd Normal Form** (BCNF) when every determinant in F is a superkey.

A relation that respects Boyce-Codd Normal Form is also in **3NF**, but the opposite is not true.

$(X)_F^+$

PDF 11 slide 5

```
def closure(R, F, X):  
    Z = X  
    S = { A ∈ R | Y → V ∈ F ∧ Y ⊆ Z ∧ A ∈ V }  
  
    if S ⊆ Z:  
        return Z  
  
    return closure(R, F, Z ∪ S)
```

$$(X)_F^+$$

PDF 11 slide 8

The algorithm `closure()` correctly computes the closure of a set of attributes X respectively to a set F of functional dependencies on R

Proof

Let's consider Z_i, S_i the values of Z and S at the i -th call of the function and $Z_f, S_f \mid S_f \subseteq Z_f$ the values of Z, S at the last call of the function. Let's prove by induction that $Z_i \subseteq (X)_F^+$

$$Z_i \subseteq (X)_F^+$$

Base case

$$Z_0 = X \subseteq (X)_F^+$$

$$\text{Inductive step } Z_i \subseteq (X)_F^+ \implies Z_{i+1} \subseteq (X)_F^+$$

Given that $Z_{i+1} = Z_i \cup S_i$ then if $A \in Z_{i+1}$ either

- $A \in Z_i \implies$ by HP $A \in (X)_F^+$
- $A \in S_i \implies$ by construction $\exists Y \rightarrow V \in F \mid Y \subseteq Z_i \wedge A \in V \implies$ by HP $Y \subseteq (X)_F^+ \implies$
by the lemma of closure $X \rightarrow Y \in F^A$ and by decomposition $Y \rightarrow A \in F^A \implies$ by transitivity
 $X \rightarrow A \in F^A \implies$ by definition $A \in (X)_F^+$

$$(X)_F^+ \subseteq Z_f \text{ (legal instance)}$$

Given Z_f we can build an instance $r = \{t_1, t_2\}$ on R such that

r	Z_f					$R \setminus Z_f$				
t_1	1	1	1	...	1	1	1	1	...	1
t_2	1	1	1	...	1	0	0	0	...	0

Let's verify that r is a legal instance. Given $V \rightarrow W \in F$ as $V, W \neq \emptyset$ we could have either

- $V \not\subseteq Z_f \implies \exists A \in V : A \in R \setminus Z_f \implies t_1[V] \neq t_2[V] \implies r$ satisfies $V \rightarrow W$
- $V \subseteq Z_f$
 - $W \subseteq Z_f \implies$ by construction $t_1[V] = t_2[V] \wedge t_1[W] = t_2[W] \implies r$ satisfies $V \rightarrow W$
 - $W \not\subseteq Z_f \implies$ by construction $t_1[V] = t_2[V] \wedge t_1[W] \neq t_2[W]$

$(X)_F^+ \subseteq Z_f$ (legal instance)

Let's suppose that $\exists V \rightarrow W \in F : r$ doesn't satisfy $V \rightarrow W \implies$ by construction

$$V \subseteq Z_f \wedge \exists A \in W : A \in R \setminus Z_f \implies A \notin Z_f$$

Given that $V \subseteq Z_f \wedge V \rightarrow W \in F \wedge A \in W \implies$ by construction of S_f , $A \in Z_f$ which is a contradiction

Legality

In the first 2 cases r satisfies $V \rightarrow W \in F$ case 3 can't happen $\implies r$ is a legal instance of R

$$(X)_F^+ \subseteq Z_f$$

Let's consider $A \in (X)_F^+$

Given that $X \rightarrow A \in F^A = F^+$ and r is a legal instance $\implies r$ satisfies $X \rightarrow Y$, and given that by construction $X \subseteq Z_f \implies t_1[X] = t_2[X] \implies$ by definition $t_1[A] = t_2[A] \implies$ by construction $A \in Z_f$

$$Z_f = (X)_F^+$$

Given that $Z_i \subseteq (X)_F^+ \forall i \in \mathbb{N}$ and $(X)_F^+ \subseteq Z_f$, we have that $Z_f = (X)_F^+$

Intersection Rule

PDF 12 slide 19

Given a relation scheme R and a set of functional dependencies F on R

$$X := \bigcap_{V \rightarrow W \in F} R - (W - V)$$

If $X \rightarrow R \in F^+$ then the intersection is the only key to R otherwise there are multiple keys, and **ALL** of them must be identified to check if R is in **3NF**

Decomposition

PDF 13 slide 8

Let R be a relation scheme, a decomposition ρ of R is such that

$$\rho = \{ R_1, R_2, \dots, R_k \} \subseteq \mathcal{P}(R) : \bigcup_{i=1}^k R_i = R$$

Equivalence

PDF 13 slide 12

Let F and G be two sets of functional dependencies, we can define an equivalence relation

$$F \equiv G \iff F^+ = G^+$$

- reflexivity $F \implies F^+ = F^+ \implies F \equiv F$
- simmetry $F \equiv G \implies F^+ = G^+ \implies G^+ = F^+ \implies G \equiv F$
- transitivity $F \equiv G \wedge G \equiv H \implies F^+ = G^+ \wedge G^+ = H^+ \implies F^+ = H^+ \implies F \equiv H$

PDF 13 slide 14

Let F and G be two sets of functional dependencies

$$F \subseteq G \implies F^+ \subseteq G^+$$

$$F \subseteq G^+ \implies F^+ \subseteq G^+$$

Base case

$$F_0 = F \subseteq G^+ \implies F_0 \subseteq G^+$$

Inductive Step

$$F_i \subseteq G^+ \implies F_{i+1} \subseteq G^+$$

$X \rightarrow Y \in F_{i+1} \implies X \rightarrow Y$ has been obtained through

- reflexivity $Y \subseteq X \implies$ given that $X \rightarrow Y$ is satisfied by every instance $X \rightarrow Y \in G^+$
- augmentation $\exists Z \subseteq R, V \rightarrow W \in F_i \mid X = ZV, Y = ZW$
- transitivity

TODO

Preserving F

PDF 13 slide 15

Let R be a relation scheme, F a set of functional dependencies on R and $\rho = \{ R_1, R_2, \dots, R_k \}$ a decomposition of R , we say that ρ preserves F if

$$F \equiv G = \bigcup_{i=1}^k \pi_{R_i}(F)$$

Where

$$\pi_{R_i}(F) = \{ X \rightarrow Y \in F^+ \mid XY \subseteq R_i \}$$

PDF 13 slide 16

Given the definition of G , it will always be that $G \subseteq F^+ \implies G^+ \subseteq F^+$ so it is enough to verify that $F \subseteq G^+$

Dependency preservation

PDF 13 slide 17

```
def preserves_dependencies(R, F, ρ):  
    for  $X \rightarrow Y \in F$ :  
        if  $Y \notin \text{closure\_G}(R, F, \rho, X)$ :  
            return false  
  
    return true
```

This algorithm is enough as we just have to check whether $F \subseteq G^+$

Given $X \rightarrow Y \in F$ we have that $X \rightarrow Y \in G^+ = G^A \iff$ by the lemma of closure $Y \subseteq (X)_G^+$

$(X)_G^+$

```
def closure_G(R, F, X, ρ):  
    Z = X  
    S = ∅  
  
    for P ∈ ρ:  
        S = S ∪ (closure(R, F, Z ∩ P) ∩ P)  
  
    if S ⊆ Z  
        return Z  
  
    return closure_G(R, F, Z ∪ S)
```

PDF 13 slide 23 Let R be a relation schema, F a set of functional dependencies on R and $\rho = \{R_1, R_2, \dots, R_k\}$ a decomposition of R and $X \subseteq R$ the algorithm `closure_G()` correctly computes $(X)_G^+$

$$Z_f \subseteq (X)_G^+$$

Let Z_i, S_i the values of Z and S at the i -th call of the function, with $Z_0 = X$, and $S_f \subseteq Z_f$

Base case

$$Z_0 = X \subseteq (X)_G^+ \implies Z_0 \subseteq (X)_G^+ \text{ by HP}$$

Inductive step

$$Z_i \subseteq (X)_G^+ \implies Z_{i+1} \subseteq (X)_G^+, \text{ given that } S_i = \bigcup_{j=1}^k (Z_i \cap R_j)_F^+ \cap R_j$$

$$\text{Let } A \in Z_{i+1} = Z_i \cup S_i \implies \exists j : A \in (Z_i \cap R_j) \cap R_j \implies Z_i \cap R_j \rightarrow A \in G^A$$

By HP we have that $Z_i \subseteq (X)_G^+ \implies X \rightarrow Z_i \in G^A$, let $Z_i = (Z_i \cap R_j) \cup V$ by decomposition we have that $X \rightarrow Z_i \cap R_j \in G^A \implies$ by transitivity $X \rightarrow A \in G^A$

$$X \subseteq Y \implies (X)_F^+ \subseteq (Y)_F^+$$

$X \subseteq Y \implies Y \rightarrow X \in F^A$ by reflexivity

Given $A \in (X)_F^+ \implies$ by the lemma of closure $X \rightarrow A \in F^A \implies$ by transitivity $Y \rightarrow A \in F^A$
 \implies by the lemma of closure $A \in (Y)_F^+$

$$(X)_G^+ \subseteq Z_f$$

$X \subseteq Z_f \implies (X)_G^+ \subseteq (Z_f)_G^+$, we have to prove that $Z_f = (Z_f)_G^+$

Let's consider $A \in S' = \{ A \in R \mid V \rightarrow W \in G \wedge V \subseteq Z_f \wedge A \in W \} \implies \exists V \rightarrow W \in G :$
 $V \subseteq Z_f \wedge A \in W \implies \exists R_j \in \rho : VW \subseteq R_j \implies V \subseteq Z_f \cap R_j \wedge A \in R_j \implies A \in (Z_f \cap R_j)_F^+ \cap R_j \implies A \in S_f \implies A \in Z_f$

Lossless join

PDF 15 slide 11 Let R be a relation schema. A decomposition $\rho = \{ R_1, R_2, \dots, R_k \}$ of R has a lossless join if $\forall r$ legal instance of R we have that $r = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \dots \bowtie \pi_{R_k}(r)$

PDF 15 slide 13 Let R be a relation schema and let $\rho = \{ R_1, R_2, \dots, R_k \}$ be a decomposition of R ; for each legal instance r of R , we denote $m_\rho(r) = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \dots \bowtie \pi_{R_k}(r)$

- $r \subseteq m_\rho(r)$
- $\pi_{R_i}(m_\rho(r)) = \pi_{R_i}(r)$
- $m_\rho(m_\rho(r)) = m_\rho(r)$

Given S_1, \dots, S_k relation schemas with their instances s_1, \dots, s_k , let's define the \bowtie operator as

$$\bigbowtie_{i=1}^k S_i = \left\{ \bigcup_{i=1}^k t_j \mid \forall s_i \forall t_j \in s_i \wedge \bigcup_{i=1}^k t_j \text{ is a function} \right\}$$

$$r \subseteq m_\rho(r)$$

$t \in r \implies t[R_i] \in \pi_{R_i}(r) \forall R_i \in \rho$ by definition

$$\bigotimes_{i=1}^k \pi_{R_i}(r) = \left\{ \bigcup_{i=1}^k p_i[R_i] \mid p_i[R_i] \in \pi_{R_i}(r) \wedge \bigcup_{i=1}^k p_i[R_i] \text{ is a function} \right\}$$

$\forall t \in r, t = \bigcup_{i=1}^k t[R_i]$ as by definition of ρ we have that $R = \bigcup_{i=1}^k R_i$

$t \in r \implies t$ is a function by definition

$$t = \bigcup_{i=1}^k t[R_i] \in \bigotimes_{i=1}^k \pi_{R_i}(r) = m_\rho(r) \implies t \in m_\rho(r)$$

$$\pi_{R_i}(m_\rho(r)) = \pi_{R_i}(r)$$

$t \in r \implies$ by definition $t[R_i] \in \pi_{R_i}(r) \forall R_i \in \rho$

$$\pi_{R_i}(m_\rho(r)) = \{ q[R_i] \mid q \in \bigotimes_{i=1}^k \pi_{R_i}(r) \}$$

$$\pi_{R_i}(r) \subseteq \pi_{R_i}(m_\rho(r))$$

$t \in r \implies t \in m_\rho(r) \implies t[R_i] \in \pi_{R_i}(m_\rho(r))$

$$\pi_{R_i}(m_\rho(r)) \subseteq \pi_{R_i}(r)$$

$q \in \bigotimes_{i=1}^k \pi_{R_i}(r) \implies$ by definition of join $q = \bigotimes_{i=1}^k \{ p_i[R_i] \} \mid p_i \in r \implies$ given that q is a function $q[R_i] = p_i[R_i]$ and $p_i \in r \implies p_i[R_i] \in \pi_{R_i}(r)$ we have that $q[R_i] \in \pi_{R_i}(r)$

$$m_\rho(m_\rho(r)) = m_\rho(r)$$

$$m_\rho(m_\rho(r)) = \bigotimes_{i=1}^k \pi_{R_i}(m_\rho(r)) = \bigotimes_{i=1}^k \pi_{R_i}(r) = m_\rho(r)$$

Loseless join pt.2

PDF 15 slide 15 Given $\rho = \{ R_1, R_2, \dots, R_k \}$, build a table r with $|R|$ columns and k rows. At the i -th row and j -th column put a_j if $A \in R_i$ else b_{ij}

```
def has_looseless_join(R, F,  $\rho$ ):
    while  $!(\exists t \in r \mid \forall A \in R, t[A] = a)$  and r changed:
        for  $X \rightarrow Y \in F$ :
            for t1  $\in r$ :
                for t2  $\in r$ :
                    if t1[X] = t2[X] and t1[Y]  $\neq$  t2[Y]:
                        for A  $\in Y$ :
                            if t1[A] = a:
                                t2[A] = t1[A]
                            else:
                                t1[A] = t2[A]

    return  $\exists t \in r \mid \forall A \in R, t[A] = a$ 
```

Correctness

PDF 15 slide 19

Let R be a relation scheme, F a set of functional dependencies on R and let $\rho = \{ R_1, R_2, \dots, R_k \}$ be a decomposition of R ; the algorithm correctly decides whether ρ has a lossless join

$r = m_\rho(r) \iff r$ has a tuple with all a when the algorithm terminates

TODO: I can prove $r = m_\rho(r) \implies r$ has a tuple with all a when the algorithm terminates, I just have to write it in \LaTeX

Minimal cover

PDF 17 slide 7

Let R be a schema and F be a set of functional dependencies on R . A **minimal cover** of F is a set of functional dependencies $G \equiv F$ such that:

- $\forall X \rightarrow Y \in G, |Y| = 1$
- $\forall X \rightarrow A \in G, \nexists X' \subset X \mid G \equiv (G - \{X \rightarrow A\}) \cup \{X' \rightarrow A\}$
- $\nexists X \rightarrow A \in G \mid G \equiv G - \{X \rightarrow A\}$

Minimal cover (*step 1*)

$$F_1 = \{ X \rightarrow A \mid X \rightarrow Y \in F \wedge A \in Y \}$$

$$F \xrightarrow{A} F_1 \text{ by decomposition } F_1 \xrightarrow{A} F_1^A \implies F \subseteq F_1^A$$

$$F_1 \xrightarrow{A} F \text{ by union } F \xrightarrow{A} F^A \implies F_1 \subseteq F^A$$

$$F \equiv F_1$$

Minimal cover (step 2)

Given $X \rightarrow A \in F_1, X' \subset X \wedge X' \rightarrow A \in F_1^+ \implies F_2 = (F_1 \setminus \{X \rightarrow A\}) \cup \{X' \rightarrow A\}$

$X' \subseteq X \implies X \rightarrow X' \in F_1^+ \wedge X \rightarrow X' \in F_2^+$ by reflexivity

$X \rightarrow A \in F_1$

- $X \rightarrow A \in F_2 \implies X \rightarrow A \in F_2^+$
- $X \rightarrow A \notin F_2 \implies X \rightarrow X' \in F_2^+ \wedge X' \rightarrow A \in F_2^+ \implies X \rightarrow A \in F_2^+$ by transitivity

$X \rightarrow A \in F_2$

- $X \rightarrow A \in F_1 \implies X \rightarrow A \in F_1^+$
- $X \rightarrow A \notin F_1 \implies X \rightarrow A \in F_1^+$ by HP

$F_2 \equiv F_1 \implies F \equiv F_2$ by transitivity of the \equiv relationship

Minimal cover (step 3)

$$X \rightarrow A \in F_2, A \in (X)_{F_2 \setminus \{X \rightarrow A\}}^+ \implies F_3 = F_2 \setminus \{X \rightarrow A\}$$

$$X \rightarrow A \in F_2$$

- $X \rightarrow A \in F_3 \implies X \rightarrow A \in F_3^+$
- $X \rightarrow A \notin F_3 \implies X \rightarrow A \in F_3^+$ by HP as $A \in (X)_{F_3}^+$

$$X \rightarrow A \in F_3$$

- $X \rightarrow A \in F_2 \implies X \rightarrow A \in F_2^+$
- $X \rightarrow A \notin F_2$ is a contradiction as $F_3 = F_2 \setminus \{X \rightarrow A\}$ by definition

$$F_2 \equiv F_3 \implies F \equiv F_3$$

Decomposition

```
def decomposition(R, F: minimal cover):  
    S =  $\emptyset$   
     $\rho = \emptyset$   
  
    for A  $\in$  R |  $\nexists X \rightarrow Y \in F : A \in XY$ :  
        S = S  $\cup$  {A}  
  
    if S  $\neq \emptyset$ :  
        R = R - S  
         $\rho = \rho \cup \{S\}$   
  
    if  $\exists X \rightarrow Y \in F | XY = R$ :  
         $\rho = \rho \cup \{R\}$   
    else:  
        for X  $\rightarrow$  A  $\in$  F:  
             $\rho = \rho \cup \{XA\}$ 
```

Decomposition pt.2

PDF 19 slide 5

Let R be a relational schema and F a set of functional dependencies on R , which is a minimal cover; the algorithm `decomposition()` computes (*in polynomial time*) a decomposition ρ of R such that:

- each relational schema in ρ is in 3NF
- ρ preserves F